

文件编号：JR-CSR-RPT-001-2026-V01	文件名称：社会责任报告	报告期：2025 年 5 月 1 日—2026 年 4 月 30 日
制定部门：供应链尽责管理联合办公室	审核人：冯文元	批准人：齐连成

说明：本报告为新疆嘉润资源控股有限公司年度社会责任报告，报告期 2025 年 5 月 1 日至 2026 年 4 月 30 日，涵盖公司治理、员工权益与职业健康安全、环境保护、负责任供应链管理及社区参与五大板块。本报告与公司同期发布的《矿产供应链尽责管理报告》互为补充，供应链尽责管理的详细内容请参见该报告，本报告不再逐项重复。本报告将在相关渠道公开发布，并预留不少于 15 个工作日供利益相关方评议反馈。

新疆嘉润资源控股有限公司

社会责任报告

报告期：2025 年 5 月 1 日—2026 年 4 月 30 日

报告主体	新疆嘉润资源控股有限公司
注册地址	新疆维吾尔自治区昌吉回族自治州玛纳斯县塔河工业园区嘉润产业园
报告周期	2025 年 5 月 1 日—2026 年 4 月 30 日
主营业务	受托加工（铝锭）——氧化铝由亿丰实业采购并运至嘉润厂区，嘉润仅提供加工服务
管理体系认证	已通过 ISO 9001 质量管理体系、ISO 14001 环境管理体系、ISO 45001 职业健康安全管理体系认证
适用标准/参考依据	《社会责任指南》（GB/T 36000）；ISO 26000 社会责任指南；中国五矿化工进出口商会《中国矿产供应链尽责管理指南》（第二版）；《经济合作与发展组织关于来自受冲突影响和高风险区域的矿石的负责任供应链尽职调查指南》（第三版）

执行摘要

报告期内，新疆嘉润资源控股有限公司（以下简称“嘉润”）持续完善公司治理架构，将供应链尽责管理与更广泛的社会责任管理相结合。公司成立于 2011 年 5 月，年产电解铝约 80 万吨，截至 2025 年度员工总数约 1,714 人。公司已取得 ISO 9001、ISO 14001、ISO 45001 三体系认证，其中环境管理体系认证覆盖电解铝的生产和服务；2024 年，公司获评新疆首家全流程超低排放电解铝企业（环保绩效 A 级）。在员工权益方面，公司建立了覆盖劳动用工合规、职业健康安全、薪酬与集体协商、机会均等及培训发展的完整政策体系，报告期内公司无安全生产事故。在供应链管理方面，公司对供应链涉及的澳大利亚、几内亚、印度、圭亚那、中国五国产地完成 CAHRAs 识别，四类风险均评定为低风险，详见公司同期发布的《矿产供应链尽责管理报告》。公司建立了公开的申诉机制，报告期内未收到任何有效申诉。

一、关于嘉润

新疆嘉润资源控股有限公司成立于 2011 年 5 月，位于新疆维吾尔自治区昌吉回族自治州玛纳斯县塔河工业园区嘉润产业园，年产电解铝约 80 万吨，拥有专属工业园区及煤电、铝合金加工等配套产业链。公司产品以铝锭为主，广泛应用于航空、汽车、医疗设备及家居用品等下游领域。截至 2025 年度，公司员工总数约 1,714 人。公司作为玛纳斯县亿丰实业有限公司（简称亿丰实业）的唯一受托加工方，氧化铝原料由亿丰实业采购并运至嘉润厂区，嘉润仅提供受托加工服务，不参与原料的采购、贸易或销售。公司全部员工均签订正式劳动合同，工资通过银行转账按月足额发放。

二、公司治理与商业道德

2.1 治理架构

报告期内，公司正式成立铝供应链尽责管理委员会，任命总经理担任委员会主任，下设联合办公室负责日常协调，并通过任命书授予副总经理监督尽责管理工作绩效的权限，自 2026 年 1 月 5 日起生效。委员会下设联合办公室，办公室人员来自生产管理部、人力资源部、法务部、质检部、财务部、安全管理部、仓储物资管理中心等职能部门；组成跨部门联合工作组。

2.2 商业道德与反腐败

公司制定并执行《反行贿及反洗钱合规程序》，规定可疑交易识别、五步处置程序及供应商背景核查要求（含 EITI 信息披露核查）；财务部对大额跨境付款实施双人审批机制，对税费缴纳凭证完整归档。报告期内，公司对相关人员开展反腐败合规培训，未发现商业贿赂或利益冲突事件。

2.3 管理体系认证

公司已通过 ISO 9001 质量管理体系、ISO 14001 环境管理体系、ISO 45001 职业健康安全管理体系认证（三体系认证），三体系覆盖质量、环境及职业健康安全全过程。其中，环境管理体系认证注册号为 0350121E20770R0L，由兴原认证中心有限公司颁发，适用于电解铝的生产和服务。

体系	证书信息
ISO 9001 质量管理体系	已通过认证
ISO 14001 环境管理体系	已通过认证
ISO 45001 职业健康安全管理体系	已通过认证

三、员工权益与职业健康安全

3.1 劳动用工合规

公司通过《工作中基本原则和权利政策》建立零容忍强迫劳动和童工政策框架，明确禁止扣押证件、债务束缚、限制人身自由、强迫加班、工资扣押等强迫劳动行为，并设定最低就业年龄标准（一般工作 16 岁，危险工作 18 岁），对全部新员工实行入职年龄核查。

公司通过《人权尽职调查程序》建立年度人权风险识别、预防、跟踪和报告的循环机制，并将强迫劳动列为《商业伙伴人权筛查程序》的重点核查事项。为进一步验证用工自愿性及工人权益保障情况的实际落实效果，公司计划于本报告期后委托独立第三方开展专项评估，评估结论将纳入公司年度人权尽职调查工作予以跟踪。

3.2 职业健康安全

公司依托 ISO 45001 职业健康安全管理体系，建立联合劳资安全卫生委员会（雇主代表与工人代表各占 50%，工人代表由选举产生），每季度至少召开一次例会；依据危害评估结果为暴露工人免费配备符合国家标准个人防护装备；建立事故根因分析机制，对工亡、重伤、一般工伤、险兆事件及职业病分类别设定调查时限；并设立工作场所暴力与性骚扰专项投诉渠道。报告期内公司无安全生产事故，安全记录保持良好。

3.3 薪酬、结社自由与集体协商

公司每年开展薪酬对标评估，确保各岗位类别工资不低于当地最低工资标准及同行业可比雇主中位数，按时足额支付工资月份占比 100%，并将男女同岗位薪酬差距控制在 5% 以内。公司通过《产业关系政策》保障工人结社自由和集体谈判权利，工人代表选举以无记名投票方式产生，管理层不参与推荐或干预；建立全公司、部门及专项议题三层级定期协商制度。公司设有六个投诉渠道（含匿名投诉箱及匿名热线），并依据《联合国工商企业与人权指导原则》有效性标准运行申诉机制；同时建立劳动争议调解委员会（雇主代表与工人代表各占 50%），保障工人依法申请外部仲裁的权利不受影响。

3.4 机会均等与消除歧视

公司明确禁止基于种族、民族、性别、宗教信仰、政治见解、社会出身、年龄、残疾、家庭责任等事由的歧视，覆盖招聘录用、薪酬福利、培训发展、绩效晋升、工作条件和解雇等各环节。公司特别关注少数民族员工的平等就业权利，招聘广告以维吾尔语等本地语言同步发布，面试采用文化中立的能力评估工具；并通过专项计划为女童及年轻女性提供 STEM 教育支持、为女大学生设置不低于 40% 的专项实习名额，为残疾员工提供合理便利安排。

3.5 培训与发展

公司为操作工人和技术专业人员设定不低于 40 小时/年、高层管理人员不低于 16 小时/年的培训时数标准，全体员工每年必修反强迫劳动与反童工、反歧视与机会均等、结社自由与工人权利、职业安全卫生等课程。公司积极参与新疆人社厅职业技能提升行动等政府培训项目，为本地职业院校和高校学生提供不低于总岗位 3% 的实习岗位。此外，2026 年 3 月 12 日，公司联合亿丰实业开展铝供应链尽责管理专项培训；2026 年 5 月 10 日，公司联合亿丰实业对一级供应商开展供应链尽责管理培训。

四、环境保护

4.1 环境管理体系

公司依托 ISO 14001 环境管理体系，建立环境合规管理机制，确保各项排放符合适用法规要求。2024 年，公司获评新疆首家全流程超低排放电解铝企业，环保绩效评定为 A 级。

4.2 资源利用与节能降耗

公司按批次对氧化铝原料开展物料平衡核算（单耗 1.92 吨/吨铝锭），仓储物资管理中心维护批次追溯台账，报告期内物料平衡核算正常，未发现账实不符情形。公司正在推进光伏发电等清洁能源应用，探索优化能源结构、降低传统能源消耗的路径。

4.3 污染防治

公司废气、废水、固体废物排放及处置均符合国家及地方排放标准，报告期内未发生环保违规事件。

五、负责任供应链管理

公司铝供应链尽责管理体系依据《中国矿产供应链尽责管理指南》（第二版）六步走框架建设，与亿丰实业签署《委托加工协议补充协议》，将尽责管理要求纳入合同条款。报告期内，公司对供应链涉及的澳大利亚、几内亚、印度、圭亚那、中国五国产地完成 CAHRAs 识别，均未触发警示信号；严重侵权行为、非国家武装团体风险、公共或私人安全武装力量、行贿受贿及欺诈性失实陈述/洗钱/税费透明度四类风险评估结论均为低风险。公司建立了公开的申诉机制，报告期内共收到 0 条有效申诉。详细内容参见公司同期发布的《矿产供应链尽责管理报告》（文件编号：JR-SCDD-RPT-R-001-2026-V01）。

六、社区参与与利益相关方沟通

6.1 社区参与

公司持续关注厂区周边社区诉求，尚未开展专项社区公益投入，下一报告期将结合属地实际情况制定社区参与计划。

6.2 利益相关方沟通

公司识别的主要利益相关方包括：公司员工、委托方（亿丰实业）及其上游供应商、厂区周边社区居民、行业协会，以及玛纳斯县及昌吉回族自治州相关政府主管部门。公司建立了公开的申诉机制（含申诉邮箱），为员工、社区居民及其他利益相关方提供常态化的意见表达渠道；本年度报告在公开发布后，预留不少于 15 个工作日供利益相关方评议和反馈。

七、未来展望

下一报告期，公司将继续完善社会责任管理体系，重点推进：（1）供应商尽责管理能力建设，鼓励供应商向中国五矿化工进出口商会（CCCMC）申请评估；（2）持续跟踪几内亚等产地政治稳定情况，如出现变动及时更新 CAHRAs 识别结果；（3）结合属地实际情况，逐步推进社区参与及清洁能源应用相关工作，持续提升社会责任管理水平。

ENGLISH VERSION

Document No.: JR-CSR-RPT-001-2026-V01	Document Title: Social Responsibility Report	Report Period: May 1, 2025 – April 30, 2026
Prepared By: Supply Chain Due Diligence Management Joint Office	Reviewed By: 冯文元	Approved By: 齐连成

Note: This report is the annual Social Responsibility Report of Xinjiang Jiarun Resources Holdings Co., Ltd., covering the reporting period from May 1, 2025 to April 30, 2026, across five areas: corporate governance, employee rights and occupational health and safety, environmental protection, responsible supply chain management, and community engagement. This report complements the Company's Mineral Supply Chain Due Diligence Report (Document No.: JR-SCDD-RPT-R-001-2026-V01) issued for the same period; detailed supply chain due diligence content is set out in that report and is not repeated in full here. This report will be publicly disclosed through relevant channels, with no less than 15 working days reserved after publication for stakeholder review and feedback.

Xinjiang Jiarun Resources Holdings Co., Ltd.

Social Responsibility Report

Report Period: May 1, 2025 – April 30, 2026

Report Entity	Xinjiang Jiarun Resources Holdings Co., Ltd.
Registered Address	Jiarun Industrial Park, Tahe Industrial Zone, Manas County, Changji Hui Autonomous Prefecture, Xinjiang Uyghur Autonomous Region
Report Period	May 1, 2025 – April 30, 2026
Business Nature	Toll processing (aluminum ingots) — alumina purchased by Yifeng Industrial and delivered to Jiarun's plant; Jiarun provides processing services only
Management System Certification	Certified to ISO 9001 (Quality Management), ISO 14001 (Environmental Management), and ISO 45001 (Occupational Health and Safety Management)
Applicable Standards / References	Guidance on Social Responsibility (GB/T 36000); ISO 26000 Guidance on Social Responsibility; Chinese Due Diligence Guidelines for Mineral Supply Chain (2nd Edition) issued by CCCMC; OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (3rd Edition)

Executive Summary

During the reporting period, Xinjiang Jiarun Resources Holdings Co., Ltd. (“Jiarun”) continued to strengthen its corporate governance framework, integrating supply chain due diligence with broader social responsibility management. Founded in May 2011, the Company produces

approximately 800,000 tonnes of electrolytic aluminum annually and had approximately 1,714 employees as of 2025. The Company holds ISO 9001, ISO 14001, and ISO 45001 certifications, with its environmental management system certification covering the production and services of electrolytic aluminum; in 2024, the Company was recognized as Xinjiang's first full-process ultra-low-emission electrolytic aluminum enterprise (Grade A environmental performance). With respect to employee rights, the Company has established a comprehensive policy framework covering labor compliance, occupational health and safety, compensation and collective consultation, equal opportunity, and training and development; no work safety incidents occurred during the reporting period. With respect to supply chain management, the Company completed CAHRAs identification for the five countries of origin involved in its supply chain — Australia, Guinea, India, Guyana, and China — and all four risk categories were assessed as low risk, as further detailed in the Company's Mineral Supply Chain Due Diligence Report issued for the same period. The Company has established a public grievance mechanism and received no valid grievances during the reporting period.

I. About Jiarun

Xinjiang Jiarun Resources Holdings Co., Ltd. was founded in May 2011 and is located in Jiarun Industrial Park, Tahe Industrial Zone, Manas County, Changji Hui Autonomous Prefecture, Xinjiang Uyghur Autonomous Region. The Company produces approximately 800,000 tonnes of electrolytic aluminum annually and operates its own industrial park together with a supporting coal-fired power and aluminum alloy processing chain. Its products are primarily aluminum ingots, widely used in downstream aviation, automotive, medical device, and household goods applications. As of 2025, the Company had approximately 1,714 employees. As the sole toll processor for Manas County Yifeng Industrial Co., Ltd. (“Yifeng Industrial”), alumina raw materials are purchased by Yifeng Industrial and delivered to Jiarun's plant; Jiarun provides processing services only and does not participate in the procurement, trading, or sale of raw materials. All Company employees are engaged under formal labor contracts, with wages paid in full and on time via bank transfer.

II. Corporate Governance and Business Ethics

2.1 Governance Structure

During the reporting period, the Company formally established the Aluminum Supply Chain Due Diligence Committee, appointing the General Manager as Committee Chair, with a Joint Office established under it to handle day-to-day coordination; the Deputy General Manager was granted, by letter of appointment, the authority to oversee due diligence performance, effective January 5, 2026. Functional departments under the Committee include the Joint Office, Production Management Department, Human Resources Department, Legal Affairs Department, Quality Inspection Department, Finance Department, Safety Management Department, and the Warehouse and Materials Management Center; departmental responsibilities are set out in Section 1.2 of the Company's Mineral Supply Chain Due Diligence Report issued for the same period.

2.2 Business Ethics and Anti-Corruption

The Company has formulated and implements an Anti-Bribery and Anti-Money Laundering Compliance Procedure, setting out suspicious transaction identification, a five-step disposal procedure, and supplier background check requirements (including EITI disclosure verification); the Finance Department applies a dual-approval mechanism for large cross-border payments and maintains complete records of tax payments. During the reporting period, the Company conducted anti-corruption compliance training for relevant personnel, and no instances of commercial bribery or conflicts of interest were identified.

2.3 Management System Certification

The Company holds ISO 9001 (Quality Management), ISO 14001 (Environmental Management), and ISO 45001 (Occupational Health and Safety Management) certifications, together covering quality, environmental, and occupational health and safety management across all operations. The environmental management system certification (Registration No. 0350121E20770ROL) was issued by Xingyuan Certification Center Co., Ltd. and covers the production and services of electrolytic aluminum.

System	Status
ISO 9001 Quality Management System	Certified
ISO 14001 Environmental Management System	Certified
ISO 45001 Occupational Health and Safety Management System	Certified

III. Employee Rights and Occupational Health and Safety

3.1 Labor Compliance

Through its Fundamental Principles and Rights at Work Policy, the Company has established a zero-tolerance policy framework for forced labor and child labor, expressly prohibiting the withholding of identity documents, debt bondage, restriction of personal freedom, forced overtime, and wage withholding, and setting minimum employment age standards (16 for general work, 18 for hazardous work), with age verification conducted for 100% of new hires. Through its Human Rights Due Diligence Procedure, the Company has established an annual cycle for identifying, preventing, tracking, and reporting on human rights risks, and has designated forced labor as a priority area under its Business Partner Human Rights Screening Procedure. To further verify the voluntary nature of employment and the actual implementation of worker protections, the Company plans to engage an independent third party to conduct a dedicated assessment following this reporting period, with the findings to be tracked as part of the Company's annual human rights due diligence work.

3.2 Occupational Health and Safety

Building on its ISO 45001 occupational health and safety management system, the Company has established a joint labor-management Occupational Health and Safety Committee (with equal representation of management and elected worker representatives) that meets at least quarterly; provides free, nationally compliant personal protective equipment to exposed

workers based on hazard assessments; maintains a root-cause investigation mechanism with defined investigation timeframes for work-related fatalities, serious injuries, general injuries, near-misses, and occupational diseases; and has established a dedicated complaint channel for workplace violence and sexual harassment. No work safety incidents occurred during the reporting period, and the Company's safety record remains strong.

3.3 Compensation, Freedom of Association, and Collective Consultation

The Company conducts an annual wage benchmarking assessment to ensure that wages for each job category are no lower than the local minimum wage and the median of comparable employers in the industry, with 100% of wages paid in full and on time and the unexplained gender pay gap for equivalent positions kept below 5%. Through its Industrial Relations Policy, the Company safeguards workers' freedom of association and collective bargaining rights; worker representatives are elected by secret ballot without management involvement in nomination or influence, and a three-tier regular consultation system operates at the company-wide, departmental, and issue-specific levels. The Company maintains six grievance channels (including an anonymous complaint box and hotline) and operates its grievance mechanism in line with the effectiveness criteria of the UN Guiding Principles on Business and Human Rights; a Labor Dispute Mediation Committee (with equal management and elected worker representation) has also been established, without prejudice to workers' statutory right to external arbitration.

3.4 Equal Opportunity and Non-Discrimination

The Company expressly prohibits discrimination on the grounds of race, ethnicity, gender, religious belief, political opinion, social origin, age, disability, and family responsibilities, across recruitment, compensation and benefits, training and development, performance and promotion, working conditions, and termination. The Company pays particular attention to equal employment rights for employees of ethnic minority background: recruitment advertisements are published concurrently in Uyghur and other local languages, and interviews use culturally neutral competency assessment tools. Through dedicated programs, the Company provides STEM education support for girls and young women, sets aside no less than 40% of internship places for female university students, and provides reasonable accommodations for employees with disabilities.

3.5 Training and Development

The Company sets minimum annual training hours of no less than 40 hours for operators and technical staff and no less than 16 hours for senior management, with all employees required to complete annual courses on anti-forced labor and anti-child labor, anti-discrimination and equal opportunity, freedom of association and workers' rights, and occupational health and safety. The Company actively participates in government-led training programs such as the Xinjiang Department of Human Resources and Social Security's vocational skills upgrading initiative, providing internship positions equal to no less than 3% of total positions for students from local vocational colleges and universities. In addition, on March 12, 2026, the Company conducted specialized aluminum supply chain due diligence training jointly with Yifeng Industrial; on May

10, 2026, the Company conducted supply chain due diligence management training for Tier 1 suppliers jointly with Yifeng Industrial.

IV. Environmental Protection

4.1 Environmental Management System

Building on its ISO 14001 environmental management system, the Company has established an environmental compliance management mechanism to ensure that all emissions meet applicable regulatory requirements. In 2024, the Company was recognized as Xinjiang's first full-process ultra-low-emission electrolytic aluminum enterprise, with its environmental performance rated Grade A.

4.2 Resource Utilization and Energy Efficiency

The Company conducts batch-level material balance accounting for alumina raw materials (consumption rate: 1.92 tonnes per tonne of aluminum ingots), with the Warehouse and Materials Management Center maintaining batch traceability records; material balance accounting was normal during the reporting period, with no discrepancies identified. The Company is advancing the application of clean energy, including photovoltaic power generation, to explore ways to optimize its energy mix and reduce reliance on conventional energy sources.

4.3 Pollution Prevention and Control

The Company's air emissions, wastewater, and solid waste discharge and disposal all comply with applicable national and local emission standards, and no environmental compliance incidents occurred during the reporting period.

V. Responsible Supply Chain Management

The Company's aluminum supply chain due diligence system is built on the six-step framework of the Chinese Due Diligence Guidelines for Mineral Supply Chain (2nd Edition), and the Company has entered into a Supplementary Agreement to the Processing Agreement with Yifeng Industrial incorporating due diligence requirements into contract terms. During the reporting period, the Company completed CAHRAs identification for the five countries of origin involved in its supply chain — Australia, Guinea, India, Guyana, and China — none of which triggered a warning signal; all four risk categories — serious rights abuses, risks related to non-state armed groups, risks related to public or private security forces, and bribery/fraudulent misrepresentation/money laundering/tax transparency — were assessed as low risk. The Company has established a public grievance mechanism and received zero valid grievances during the reporting period. Further detail is set out in the Company's Mineral Supply Chain Due Diligence Report issued for the same period (Document No.: JR-SCDD-RPT-R-001-2026-V01).

VI. Community Engagement and Stakeholder Communication

6.1 Community Engagement

The Company continues to monitor the concerns of communities surrounding its plant. No dedicated community investment programs have yet been undertaken; a community engagement plan will be formulated for the next reporting period based on local circumstances.

6.2 Stakeholder Communication

The Company's key stakeholders include: Company employees, the client (Yifeng Industrial) and its upstream suppliers, residents of communities surrounding the plant, industry associations, and relevant government authorities in Manas County and Changji Hui Autonomous Prefecture. The Company maintains a public grievance mechanism (including a grievance email) providing an ongoing channel for employees, community residents, and other stakeholders to express views; following public release, no less than 15 working days are reserved for stakeholder review and feedback on this annual report.

VII. Outlook

In the next reporting period, the Company will continue to strengthen its social responsibility management system, with priority given to: (1) building suppliers' due diligence management capacity and encouraging suppliers to apply for assessment with CCCMC; (2) continuing to monitor political stability in origins such as Guinea, updating CAHRAs identification results promptly should circumstances change; and (3) progressively advancing community engagement and clean energy initiatives in light of local circumstances, and continuing to strengthen the Company's social responsibility management overall.